## FORM D



UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

### FORM D

war i 9 2003

NOTICE OF SALE OF SECURITIES PURSUANT TO REGULATIONSD, SECTION 4(6), AND/OR UNIFORM LIMITED OFFERING EXEMPTION

OMB APPR	OVAL
OMB Number:	3235-0076
Expires: Decemi	
Estimated averag	e burden
hours per respons	16.00

SEC USE ONLY				
Prefix	Serial			
DATE REC	EIVED			

	and services.	Other (please specify) PROCESSED					
health care products  Type of Business Organization  © corporation	and services.	PROCESOES					
The discovery, development, manufacture, and sale of a broad and diversified line of health care products and services.							
Brief Description of Business							
	Address of Principal Business Operations (Number and Street, City, State, Zip Code) Telephone Number (Including Area Code) if different from Executive Offices)						
100 Abbott Park Road	, Abbott Park, Illinois 60064-3500	(847) 937-6100					
Address of Executive Offices	(Number and Street, City, State, Zip Code)	Telephone Number (Including Area Code)					
Name of Issuer ( check if this is an amendment and name has changed, and indicate change.)  Abbott Laboratories							
1. Enter the information reques							
Type of Fining. St New Finin	A. BASIC IDENTIFICATION DATA						
Type of Filing:  New Filin	g 🗔 Amendineni	2 00000					
	at apply). With your Er train you and train you	☐ Section 4(6) ☐ ULOE					
Rate Industrial Reve Filing Under (Check box(es) th		D Section 4(6) D ULOE					

## GENERAL INSTRUCTIONS

#### Federal:

Who Must File: All issuers making an offering of securities in reliance on an exemption under Regulation D or Section 4(6), 17 CFR 230.501 et seq. or 15 U.S.C. 77d(6).

When To File: A notice must be filed no later than 15 days after the first sale of securities in the offering. A notice is deemed filed with the U.S. Securities and Exchange Commission (SEC) on the earlier of the date it is received by the SEC at the address given below or, if received at that address after the date on which it is due, on the date it was mailed by United States registered or certified mail to that address.

Where to File: U.S. Securities and Exchange Commission, 450 Fifth Street, N.W., Washington, D.C. 20549.

Copies Required: Five (5) copies of this notice must be filed with the SEC, one of which must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printed signatures.

Information Required: A new filing must contain all information requested. Amendments need only report the name of the issuer and offering, any changes thereto, the information requested in Part C, and any material changes from the information previously supplied in Parts A and B. Part E and the Appendix need not be filed with the SEC.

Filing Fee: There is no sederal filing see.

This notice shall be used to indicate reliance on the Uniform Limited Offering Exemption (ULOE) for sales of securities in those states that have adopted ULOE and that have adopted this form. Issuers relying on ULOE must file a separate notice with the Securities Administrator in each state where sales are to be, or have been made. If a state requires the payment of a fee as a precondition to the claim for the exemption, a fee in the proper amount shall accompany this form. This notice shall be filed in the appropriate states in accordance with state law. The Appendix to the notice constitutes a part of this notice and must be completed.

Failure to file notice in the appropriate states will not result in a loss of the federal exemption. Conversely, failure to file the appropriate federal notice will not result in a loss of an available state exemption unless such exemption is predicated on the filing of a federal notice.

972 (1/94) 1 of 8

#### A. BASIC IDENTIFICATION DATA 2. Enter the information requested for the following: • Each promoter of the issuer, if the issuer has been organized within the past five years; • Each beneficial owner having the power to vote or dispose, or direct the vote or disposition of, 10% or more of a class of equity securities of the issuer; N/A Each executive officer and director of corporate issuers and of corporate general and managing partners of partnership issuers; and Each general and managing partner of partnership issuers. N/A ☐ Beneficial Owner ☐ General and/or Managing Partner Full Name (Last name first, if individual) See list attached Business or Residence Address (Number and Street, City, State, Zip Code) Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Managing Partner Full Name (Last name first, if individual) Business or Residence Address (Number and Street, City, State, Zip Code) ☐ Promoter ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address ☐ General and/or ☐ Promoter : ☐ Beneficial Owner ☐ Executive Officer ☐ Director Check Box(es) that Apply: Managing Partner 3-6-6-4-4 - -Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address ☐ Executive Officer ☐ Director General and/or Check Box(es) that Apply: ☐ Promoter ☐ Beneficial Owner Managing Partner Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address D Beneficial Owner D Executive Officer ☐ Director □ General and/or ☐ Promoter Check Box(es) that Apply: Managing Partner Full Name (Last name first, if individual) (Number and Street, City, State, Zip Code) Business or Residence Address ☐ Beneficial Owner ☐ Executive Officer ☐ Director ☐ General and/or Check Box(es) that Apply: ☐ Promoter Managing Partner

(Number and Street, City, State, Zip Code)

Full Name (Last name first, if individual)

Business or Residence Address

1

				В. 1	INFORMA	TION A	OUT OFF	ERING					
1. Has	the issuer	sold, or d	oes the iss	uer intend	to sell, to	non-accre	edited inve	stors in th	is offering	?		Yes	No X
٠	-				in Append						• • • • • • • •	يا ٠٠	2)
2. Wha	at is the mi	nimum in						-	•	••••		<b>\$5,</b> 0	00
											1	Yes	No
3. Doe	s the offeri	ing permit	joint own	ership of	a single ur	nit?			• • • • • • • • • •	······	• • • • • • • • •	. 22	
sion to b list t	er the inform or similar relisted is a the name of lealer, you	emunerati n associat f the brok	on for soli ed person ( er or deale	citation of or agent o er. If more	purchaser  f a broker  than five	s in connect or dealer ( (5) person	tion with s registered v s to be list	ales of secu with the SE ed are asso	irities in th C and/or	e offering. with a stat	If a perso	in S.	
	e (Last nar			1)									
Goldm	an, Sa	chs &	Co.										
Business (	or Residence	e Address	(Number	and Stree	t, City, St	ate, Zip C	ode)						
85 Br	oad St	reet.	New Y	ork.	NY 10	004	•						
	Associated						7,41			<del></del>			
States in	Which Pers	on Listed	Has Solic	ited or In	tends to So	olicit Purc	hasers	<del></del>	<del></del>	<del></del>	<del></del>		
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•						•		•	•	•		. •	
Name of	Associated	Broker or	Dealer										
States in 1	Which Pers	on Listed	Has Solic	ited or Int	ends to So	olicit Purcl	nasers						
(Check	"All States	" or chec	k individu	al States)			••••••	• • • • • • • • •				□ Ali Si	ales
[AL]	(AK)	[AZ]	[AR]	[CA]	[CO]	[CT]	[DE]	[DC]	[FL]	[GA]	[HI]	[ ID ]	
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Business o	or Residenc	e Address	(Number	and Street	City St	ue Zin Co	odé)		<del></del>	<del></del>		1.11	
Dusiness C	n Residence	c Addiess	(1 Valinoe)	2110 51110	., Сл., Б.	, <b>2</b> .p C						•	
Name of A	Associated	Broker or	Dealer					<del>``</del>					
States in V	Which Pers	on Listed	Has Solici	ited or Int	ends to So	licit Purch	nasers						
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[AL]	(AK)	[AZ]	[AR]	(CA)	[CO]	(CT)	[DE]	{DC}	{FL}	[GA]	[111]	[10]	
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[RI]	ISC 1	ISDI	[TN]	{TX}	IUTI	[VT]	[VA]	(WA)	(WV)	1 W 1 1	[WY]	[PR]	

(Use blank sheet, or copy and use additional copies of this sheet, as necessary.)

1. Enter the aggregate offering price of securities included in this offering and the total amount already sold. Enter "0" if answer is "none" or "zero." If the transaction is an exchange offering, check this box   and indicate in the columns below the amounts of the securities offered for exchange and already exchanged.			•	•
Type of Security		ggregate ering Price	Amo	ount Alread
Debt		0,000	<b>s</b>	70,000
Equity	-	0	<u> </u>	0
□ Common □ Preferred			, ,	
Convertible Securities (including warrants)	<b>S</b>	0	\$	0
Partnership Interests			\$	0
Other (Specify)	<b>5</b>	0	\$	_0
Total				
Answer also in Appendix, Column 3, if filing under ULOE.		<del></del>		<del>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</del>
2. Enter the number of accredited and non-accredited investors who have purchased securities in this offering and the aggregate dollar amounts of their purchases. For offerings under Rule 504, indicate the number of persons who have purchased securities and the aggregate dollar amount of their purchases on the total lines. Enter "0" if answer is "none" or "zero."	4	- lumber		ggregate ar <b>A</b> moun
	<b>1</b> r	vestors		Purchases
Accredited Investors			s_/0	,000
Non-accredited Investors		·	S	·
Total (for filings under Rule 504 only)			S	
Answer also in Appendix, Column 4, if filing under ULOE.				
3. If this filing is for an offering under Rule 504 or 505, enter the information requested for all securities sold by the issuer, to date, in offerings of the types indicated, in the twelve (12) months prior to the first sale of securities in this offering. Classify securities by type listed in Part C - Question 1.	-			
Type of offering		ype of curity	Dolla	r Amoun Sold
Rule 505			S	
Regulation A			<b>S</b>	·
Rule \$04			<b>S</b>	
Total			<b>S</b>	
1. a. Furnish a statement of all expenses in connection with the issuance and distribution of the securities in this offering. Exclude amounts relating solely to organization expenses of the issuer. The information may be given as subject to future contingencies. If the amount of an expenditure is not known, furnish an estimate and check the box to the left of the estimate.			,	
Transfer Agent's Fees	• • • • •	0	<b>S</b>	0
Printing and Engraving Costs	• • • • •	6	<b>S</b>	0
Legal Fees	• • • • •	🗅	5	0
Accounting Fees		🙃	\$	0
Engineering Fees	• • • • •	🏻	S	0
Sales Commissions (specify finders' fees separately)			S	350.00
Other Expenses (identify) Kenny Index Preparation			s	541.25

C \$\_\_\_

891.25

_	C. OFFERING PRICE, NUMBER OF INVESTORS, EXPENSES AN	D US	ΕO	F PROCEE	)S		
	b. Enter the difference between the aggregate offering price given in response to Part C tion I and total expenses furnished in response to Part C - Question 4.a. This difference "adjusted gross proceeds to the issuer."	- Que	:3- he			<b>s</b> . 6	9,108.75
5.	Indicate below the amount of the adjusted gross proceeds to the issuer used or proposed used for each of the purposes shown. If the amount for any purpose is not known, furnestimate and check the box to the left of the estimate. The total of the payments listed must the adjusted gross proceeds to the issuer set forth in response to Part C - Question 4.b.	nish : t equ	in al				
			P	ayments to Officers, directors, & Affiliates		Pa	yments To Others
	Salaries and fees	. 🏻	<b>S</b> _	0		<b>S</b>	0
	Purchase of real estate	. 🗆	<b>S</b>	0		<b>S</b>	0
	Purchase, rental or leasing and installation of machinery and equipment	. 🗖	<b>s</b>	0		<b>S</b> _	0
	Construction or leasing of plant buildings and facilities	. 🗅	<b>s</b> _	0		s	0
	Acquisition of other businesses (including the value of securities involved in this offering that may be used in exchange for the assets or securities of another issuer pursuant to a merger)	. 0	<b>S</b> _	0		2	0
	Repayment of indebtedness					s	0
	Working capital				_	 S	
	Other (specify): Refund amounts expended to repurchase						,108.75
٠.	bonds which Issuer has remarketed hereunder.			<del></del>	<u>.</u>	<b>'</b> ——	
			s	0		5	0
	Column Totals		s	0		<u>69</u>	,108.75
	Total Payments Listed (column totals added)			□ s 69	, 108	.75	-
_	D. FEDERAL SIGNATURE						
lo1	e issuer has duly caused this notice to be signed by the undersigned duly authorized person lowing signature constitutes an undertaking by the issuer to furnish to the U.S. Securities a set of its staff, the information furnished by the issuer to any non-accredited investor pur	nd E	xcha	nge Commiss	ion, 1	поди	written re-
ssı	er (Print or Type) Signature			Date			
	obott Laboratories Kean				3/,	3/0	3
_	me of Signer (Print or Type)  Title of Signer (Print or Type)					<del></del>	
	Terrence C. Kearney Vice President and	Tr	eas	urer			

-ATTENTION-

Intentional misstatements or omissions of fact constitute federal criminal violations. (See 18 U.S.C. 1001.)

· · · · · · · · · · · · · · · · · · ·	E. STATE SIGNATURE	• • • • • • • • • • • • • • • • • • • •
1. Is any party described in 17 CFR of such rule?	230.252(c), (d), (e) or (f) presently subject to any	of the disqualification provisions. Yes. N
	See Appendix, Column 5, for state response	
2. The undersigned issuer hereby und Form D (17 CFR 239,500) at such	lertakes to furnish to any state administrator of an a times as required by state law.	y state in which this notice is filed, a notice
3. The undersigned issuer hereby und issuer to offerees.	ertakes to furnish to the state administrators, upon	written request, information furnished by t
limited Offering Exemption (ULO	that the issuer is familiar with the conditions that i E) of the state in which this notice is filed and unde of establishing that these conditions have been sati	ritands that the issuer claiming the availabili
The issuer has read this notification and undersigned duly authorized person.	f knows the contents to be true and has duly cause	ed this notice to be signed on its behalf by the
Issuer (Print or Type)	Signature	Date
Name (Print or Type)	Title (Print or Type)	

Print the name and title of the signing representative under his signature for the state portion of this form. One copy of every notice of Form D must be manually signed. Any copies not manually signed must be photocopies of the manually signed copy or bear typed or printer. signatures.

APPENDIX

1	1	2	3	1		4		T	5
	to non-a investor	I to sell accredited s in State -Item 1)	Type of security and aggregate offering price offered in state (Part C-Item1)		Type of investor and amount purchased in State (Part C-Item 2)				
State	Yes	No		Number of Accredited Investors	Amount	Number of Non-Accredited Investors	Amount	Yes	No
AL									
AK									
AZ									
AR									
CA								,	
co									
СТ									
DE									
DC									
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APPENDIX 2 3 Disqualification Type of security under State ULO Intend to sell and aggregate (if yes, attach to non-accredited Type of investor and offering price explanation of offered in state investors in State amount purchased in State waiver granted) (Part C-Item1) (Part C-Item 2) (Part B-Item 1) (Part E-Item1) Number of Number of Accredited Non-Accredited State Yes Investors No Amount Investors Amount Yes No MT NE NV NH .NJ NM NY NC ND OH OK OR PA RI SC SD TN TX UT VT ٧A WA wv WI WY PR

### Form D

# Information Concerning Officers and Directors of Abbott Laboratories

The current corporate officers and directors of Abbott Laboratories are listed below. The address of Abbott Laboratories is: Abbott Laboratories, 100 Abbott Park Road, Abbott Park, Illinois 60064-6049. Abbott Laboratories does not consider all of its corporate officers to be executive officers as defined by the Securities Exchange Act of 1934 or Releases thereunder. Unless otherwise indicated, all positions set forth below opposite an individual's name refer to positions within Abbott Laboratories, and, where applicable, the business address listed for each individual not principally employed by Abbott Laboratories is also the address of the corporation or other organization which principally employs that individual.

NAME

# POSITION/PRESENT PRINCIPAL OCCUPATION OR EMPLOYMENT AND BUSINESS ADDRESS

CITIZENSHIP

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CORPORATE OFFICERS	menter (von Prilifelde Norm (M. 1886), maring district commentered by the comment of the comment	Cas produced the common of the
Miles D. White <sup>l</sup>	Chairman of the Board and Chief Executive Officer and Director	U.S.A.
Jeffrey M. Leiden <sup>1</sup>	President and Chief Operating Officer, Pharmaceutical Products Group and Director	U.S.A.
Richard A. Gonzalez <sup>1</sup>	President and Chief Operating Officer, Medical Products Group and Director	U.S.A.
Christopher B. Begley <sup>1</sup>	Senior Vice President, Hospital Products	U.S.A.
Jose M. de Lasa	Senior Vice President, Secretary and General Counsel	
William G. Dempsey <sup>1</sup>	Senior Vice President, International Operations	U.S.A.
Gary L. Flynn	Senior Vice President, Ross Products	U.S.A.
Thomas C. Freyman <sup>1</sup>	Senior Vice President, Finance and	U.S.A.

Chief Financial Officer

Greg W. Linder	Vice President and Controller	U.S.A.
Thomas M. Wascoe <sup>1</sup>	Senior Vice President, Human Resources	U.S.A.
Lance B. Wyatt <sup>1</sup>	Senior Vice President, Specialty Products	U.S.A.
John Arnott	Vice President, Hospital Products Business Sector	United Kingdom
Catherine V., Babington	Vice President, Investor Relations and Public Affairs	U.S.A.
Michael G. Beatrice	Vice President, Corporate Regulatory and Quality Science	U.S.A.
William E. Brown, III	Vice President, Diagnostic Assays and Systems Development	U.S.A.
Gary R. Byers	Vice President, Audit	U.S.A.
Douglas C. Bryant	Vice President, Diagnostics Commercial Operations, Europe, Africa and Middle	U.S.A.
Thomas F: Chen  Michael J. Collins	Vice President, Pacific, Asia, and Africa Operations  Vice President Discrepation Control of the President D	U.S.A.
Thomas J. Dee	Vice President, Diagnostics Commercial Operations, U.S. and Canada	U.S.A.
Edward J. Fiorentino	Vice President, Internal Audit  Vice President, MediSense Products	U.S.A.
Stephen R. Fussell	Vice President, Compensation and Development	U.S.A. U.S.A.
Mark F. Gorman	Vice President, Ross Products, Medical Nutritionals	U.S.A.
Robert B. Hance	Vice President, Vascular Devices	Û.S.A.
Guillermo A. Herrera	Vice President, European Operations	Colombia
Terrence C. Kearney	Vice President and Treasurer/Interim Vice President and Controller,	U.S.A.

James J. Koziarz	Vice President, Hepatitis/Retrovirus Research and Development and Assay Technical Support, Diagnostic Products	U.S.A.
John C. Landgraf	Vice President, Operations, Diagnostic Products	U.S.A.
Elaine R. Leavenworth	Vice President, Government Affairs	U.S.A.
Gerald Lema	Vice President, Diagnostics Operations, Asia and Pacific	U.S.A.
John M. Leonard	Vice President, Global Pharmaceutical Drug Development	U.S.A.
Holger Liepmann	Vice President, Japan Operations, Abbott International Division	U.S.A
John F. Lussen	Vice President, Taxes	U.S.A.
Richard J. Marasco	Vice President, Ross Products, Pediatrics	U.S.A.
Heather L. Mason	Vice President, Pharmaceutical Products, Specialty Operations	U.S.A.
P. Loreen Mershimer	Vice President, Hospital Products Business Sector	U.S.A.
Edward L. Michael	Vice President, Immunoassay/Clinical Chemistry, Diagnostic Products	U.S.A.
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Karen L. Miller	Vice President, Information Technology	Ü.S.A.
Sean Murphy	Vice President, Global Licensing/New Business Development	U.S.A.
Joseph M. Nemmers, Jr.	Vice President, Global Commercial Operations, Diagnostic Products	U.S.A.
Daniel W. Norbeck	Vice President, Global Pharmaceutical Discovery	U.S.A.
Edward A. Ogunro	Vice President, Hospital Products Research and Development, Medical and Regulatory, Affairs	U.S.A.
Roberto Reyes	Vice President, Latin America and	Colombia

Canada

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Mary T. Szela	Vice President, Pharmaceutical Products, Primary Care Operations	U.S.A.	The state of the s
James L. Tyree	Vice President, Global Licensing/New Business Development	U.S.A.	
Steven J. Weger, Jr.	Vice President, Corporate Planning and Development	U.S.A.	
Susan M. Widner	Vice President, Abbott HealthSystems	U.S.A.	

<sup>&</sup>lt;sup>1</sup> Pursuant to Item 401 (b) of Regulation S-K Abbott has identified these persons as "executive officers" within the meaning of Item 401 (b).

#### NAME

# POSITION/PRESENT PRINCIPAL OCCUPATION OR EMPLOYMENT AND BUSINESS ADDRESS

CITIZENSHIP

DIRECTORS		addition of comments in the contract of the co
Roxanne S. Austin	President and Chief Operating Officer -	U.S.A.
	DIRECTV	And the second s
	2230 E. Imperial Highway El Segundo, California 90245	
na manana samunan na makasa makasa makasa na makasa na maka di		the state of the s
H. Laurance Fuller	Retired Co-Chairman,	U.S.A.
	BP Amoco, p.l.c. c/o Primary Business Center	
	1111 E. Warrenville Road	
	Suite 257	
	Naperville, Illinois 60563	
	manusian in interest in the second of the se	
Richard A. Gonzalez	Officer of Abbott	U.S.A.

	and from the party of the second state of the	33	33 W. Wacker I	Drive	
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David A. Jones

Chairman of the Board Humana Inc. 500 W. Main Street Humana Building U.S.A.

Jeffrey M. Leiden	Officer of Abbott	TIC.
The Rt. Hon. Lord Owen CH	Physician Politician	U.S.A.
	Physician, Politician, and Businessman House of Lords	United
	Westminster London	Kingdom
The state of the s	SW1A OPW, England	3-0111
Boone Powell, Jr.		
	Retired Chairman	
	Baylor Health Care System 9209 Westview Circle	U.S.A.
	Dallas, Texas 75231	
Addison Barry Rand	The state of the s	
and Sarry Rand	Former Chairman and Chief Executive	er en
	Officer Executive	U.S.A.
	Avis Group	
	500 Woodbine Road	
Dr W*A P	Stamford, Connecticut 06903	
Dr. W. Ann Reynolds	Director, Center for Community	Commence of the second
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	Birmingham 933 10 <sup>th</sup> C	
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Roy S. Roberts	35294-2041	And the second
-, c. Roberts	Managing Director	and the state of t
	Reliant Fanity Invest	U.S.A.
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	- 1.10 550	
William D. o.	Chicago, Illinois 60611	
William D. Smithburg	Retired Chairman and Chief	and the second s
	TO CULT VE TITIALLY	U.S.A.
	The Otlaker Osta Co.	, O.S.A.
<ul><li>(2) 10 (1) 10 (2) 11 (2) 12 (2) 12 (2) 13</li></ul>	TY: IVIICIIIOan Assa	
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	Phicago, Illinois 60611	

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John R. Walter		
y aller	Chairman	
	Cuan man	
San	Ashlin Management Company	U.S.A.
E. C. The Secretary of the Control o	401 N. Ahwahnee Road	9.5.7.
Samuel Samuel Same of Carlot Comment Same	Labor Mwainee Road	
	Lake Forest, Illinois 60045	
Miles D. White	and the second s	
- Wille	Officer of Abbott	the state of the s
	Abbott	
-		U.S.A.